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## Full State Feedback $H_2$ and H-infinity Controllers Design for a Two Wheeled Inverted Pendulum System

**Abstract** - In this work, two robust controllers, which are full state feedback,  $H_2$  and full state feedback  $H_\infty$  controllers are proposed for the two wheeled inverted pendulum system. The nonlinear equations for the two wheeled inverted pendulum system are developed using Euler – Lagrange equation. The system parameters changes are considered to show the effectiveness of the proposed robust controllers. These controllers are proposed not only to stabilize the pendulum in upright position but also to drive the position to track a given reference input. The results show that more desirable robustness and time response specifications can be achieved using the proposed controllers. The effectiveness of the proposed controllers is verified experimentally using real two wheeled inverted pendulum system.

**Keywords** - Robust control, Two wheeled inverted pendulum,  $H_2$  full state feedback control, optimal control,  $H_\infty$  controller.

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### 1. Introduction

Since it is flexible and efficient in many industrial operations, the two-wheeled inverted pendulum is considered one of very important [1]. It has a possible application chance in many fields, such as medical applications, laboratory illustrations, military applications, automation industries, aerospace applications, space industry and entertaining applications. The features of the two-wheeled inverted pendulum systems, which make them attractive for users, are: no need of fuels, high ease of use and great maneuverability because of independent control of two wheels and lower cost of energy than fuels. The problems of this kind of systems are unstable, nonlinear, nonholonomic constraint and under actuated. The control of the under actuated system becomes more discomfort for the researchers to resolve. The under actuated system is a category of systems where the control inputs are less than DOFs to be stabilized. For the two wheeled inverted pendulum system, there are only two inputs (torque) from the motors installed to the two wheels, but there are three DOFs, which are position system, pendulum angle and rotating angle of the system. Further, the two-wheeled system is a MIMO system where the two inputs might directly control the three outputs and it is essentially a nonminimum phase system. Further, the variation in

system parameters causes an uncertainty in a number of model's parameters and leads to variation in system dynamics [2]. Several researches have been introduced for developing a mathematical model and control of the two wheeled inverted pendulum system. Newton-Euler equations of motion and Euler Lagrange method are the most used methods [3]. On the other hand, different control approaches have been proposed to control this system, for instance, Sliding Mode Control (SMC)[4], LQR Controller [5], Adaptive Sliding Mode Control [2] and Back stepping Control [6]. The robust control is one of the important techniques that are used to stabilize the plant and achieve an acceptable performance in the presence of disturbance, noise, unmodelled plant dynamics and plant parameters uncertainties. Further, when a linearized model is used to represent a nonlinear plant, a model plant mismatch may be occurred and in this case, a robust control becomes a need [7, 8]. In this paper, the model of the two wheeled inverted pendulum system is obtained by Euler equations of motion. The full state feedback controller is designed using  $H_2$  and  $H_\infty$  approaches to achieve the required robustness in stability and performance.

## 2. System Modeling

The dynamic modeling of the two wheeled inverted pendulum system includes deriving the equations of motion using Euler Lagrange method, determining the nonlinear state-space model, assigning suitable state space variables and obtaining the linear model from the nonlinear model. Figure 1 shows the schematic diagram of the two-wheeled inverted pendulum system [9]. It is shown that the system has three degrees of freedom *i.e.* about the  $X$ ,  $Y$  and  $Z$  axis. The angles are illustrated for the wheeled inverted pendulum system in Figure 1. The rotation around the lateral axis  $X$  is known as roll and the rotation around the vertical axis  $Y$  is known as yaw and the rotation around the lateral axis  $Z$  is known as pitch [10]. The Lagrangian is the difference between system's kinetic and potential energies. The Lagrangian function of the system is described by [11]:

$$L = E - V \tag{1}$$

where  $E$  is the total kinetic energy of the system and  $V$  is the total potential energy of the system. The next step is to put  $L$  from the Lagrangian function into the Lagrangian equation. The Euler Lagrange equation of motion for the system is given by [3]:

$$\frac{\partial}{\partial t} \left( \frac{\partial L}{\partial \dot{x}} \right) - \frac{\partial L}{\partial x} = F_i \tag{2}$$

$$\frac{\partial}{\partial t} \left( \frac{\partial L}{\partial \dot{\phi}} \right) - \frac{\partial L}{\partial \phi} = F_i \tag{3}$$

$$\frac{\partial}{\partial t} \left( \frac{\partial L}{\partial \dot{\psi}} \right) - \frac{\partial L}{\partial \psi} = F_i \tag{4}$$

where  $F_i$  represents the forced function of the system,  $(x, \phi, \psi)$  represent generalized states for the system.

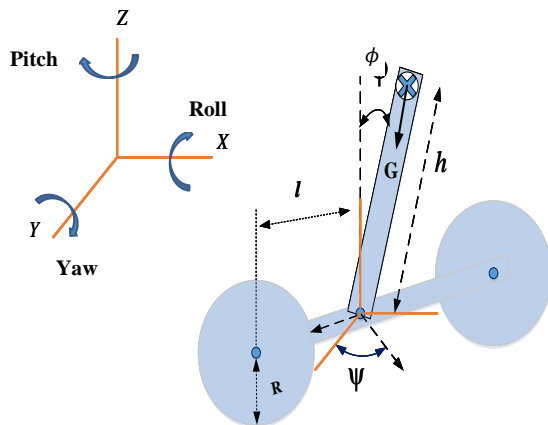


Figure 1: The two wheeled inverted pendulum structure [18]

Total kinetic energy for the two wheeled inverted pendulum system is [11]:

$$E = \frac{1}{2} M_b [\dot{x}^2 + 2h \dot{x} \dot{\phi} \cos\phi + h^2 \dot{\phi}^2 + h^2 \dot{\psi}^2 \sin^2 \phi] + \frac{1}{2} [I_x \dot{\phi}^2 + I_y \dot{\psi}^2 \sin^2 \phi + I_z \dot{\psi}^2 \cos^2 \phi] + \frac{1}{2} (M_w + \frac{I_a}{R^2})(\dot{x}^2 + l\dot{\psi}^2) \tag{5}$$

where  $M_b$  is the mass of body ( $Kg$ ),  $I_x$  is the moment of inertia of body  $x$ -axis ( $Kgm^2$ ),  $I_y$  is the moment of inertia of body  $y$ -axis ( $Kgm^2$ ),  $I_z$  is the moment of inertia of body  $z$ -axis ( $Kgm^2$ ),  $M_w$  represents the mass of each wheel ( $Kg$ ),  $R$  represents the radius of each wheel ( $m$ ),  $l$  represents the distance between the wheels ( $m$ ),  $\theta$  represents angle of wheel (*degree*) and  $I_a$  is the moment of inertia of wheel about the center ( $Kgm^2$ ).

Total potential energy  $V$  is;

$$V = M_b g h \cos\phi + M_b g R \tag{6}$$

where  $g$  is the acceleration due to gravity ( $ms^{-2}$ ).

The total energy  $L$  in equation (1) is given by:

$$L = \left[ \frac{M_b}{2} + M_w + \frac{I_a}{R^2} \right] \dot{x}^2 + \left[ M_b h^2 + \frac{1}{2} I_x \right] \dot{\phi}^2 + M_b h \cos\phi \dot{x} \dot{\phi} - [M_b g h \cos\phi + M_b g R] + \left[ (M_w + \frac{I_a}{R^2}) l^2 + \frac{1}{2} (I_y \sin^2 \phi + I_z \cos^2 \phi + M_b h \sin^2 \phi) \right] \dot{\psi}^2 \tag{7}$$

By partial differentiation of the equation (7) for each of  $(\dot{x}, \dot{\phi}, \dot{\psi})$  then, To linearize the nonlinear equations that describe the two wheeled inverted pendulum system, the Jacobian method is used as follows [12]:

$$\ddot{\phi}(t) = \frac{[M_b R^2 + 2M_w R^2 + 2I_a] M_b g h}{[(M_b + 2M_w) R^2 + 2I_a] I_x + 2M_b h^2 (M_w R^2 + I_a)} \phi(t) - \frac{[M_b R^2 + 2M_w R^2 + 2I_a] + M_b h R}{[(M_b + 2M_w) R^2 + 2I_a] I_x + 2M_b h^2 (M_w R^2 + I_a)} (T_1 + T_2) \tag{8}$$

$$\ddot{x}(t) = - \frac{M_b^2 g R^2 h^2}{(M_b h^2 + I_x) [(M_b + 2M_w) R^2 + 2I_a] - (M_b R h)^2} \phi(t) + \frac{R (M_b h^2 + M_b h R + I_x)}{(M_b h^2 + I_x) [(M_b + 2M_w) R^2 + 2I_a] - (M_b R h)^2} (T_1 + T_2) \tag{9}$$

$$\ddot{\psi}(t) = \frac{l}{R [2(M_w + \frac{I_a}{R^2}) l^2 + I_z]} (T_1 - T_2) \tag{10}$$

Equations (8), (9) and (10) are linearized about the operating state. The obtained linearized model is:

$$\begin{bmatrix} \dot{x}(t) \\ \ddot{x}(t) \\ \dot{\psi}(t) \\ \ddot{\psi}(t) \\ \dot{\phi}(t) \\ \ddot{\phi}(t) \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & -a_1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & a_2 & 0 \end{bmatrix} \begin{bmatrix} x(t) \\ \dot{x}(t) \\ \psi(t) \\ \dot{\psi}(t) \\ \phi(t) \\ \dot{\phi}(t) \end{bmatrix} + \begin{bmatrix} 0 & 0 \\ b_1 & b_2 \\ 0 & 0 \\ b_3 & -b_4 \\ 0 & 0 \\ -b_5 & -b_6 \end{bmatrix} \begin{bmatrix} T_1 \\ T_2 \end{bmatrix} \quad (11)$$

$$\begin{bmatrix} x(t) \\ \psi(t) \\ \phi(t) \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} x(t) \\ \dot{x}(t) \\ \psi(t) \\ \dot{\psi}(t) \\ \phi(t) \\ \dot{\phi}(t) \end{bmatrix} + D \begin{bmatrix} T_1 \\ T_2 \end{bmatrix} \quad (12)$$

where

$$a_1 = \frac{M_b^2 g R^2 h^2}{(M_b h^2 + I_x)[(M_b + 2M_w)R^2 + 2I_a] - (M_b R h)^2}$$

$$a_2 = \frac{[M_b R^2 + 2M_w R^2 + 2I_a] M_b g h}{[(M_b + 2M_w)R^2 + 2I_a] I_x + 2M_b h^2 (M_w R^2 + I_a)}$$

$$b_1 = \frac{R(M_b h^2 + M_b h R + I_x)}{(M_b h^2 + I_x)[(M_b + 2M_w)R^2 + 2I_a] - (M_b R h)^2}$$

$$b_2 = \frac{R(M_b h^2 + M_b h R + I_x)}{(M_b h^2 + I_x)[(M_b + 2M_w)R^2 + 2I_a] - (M_b R h)^2}$$

$$b_3 = \frac{l}{R \left[ 2 \left( M_w + \frac{I_a}{R^2} \right) l^2 + I_z \right]}$$

$$b_4 = \frac{l}{R \left[ 2 \left( M_w + \frac{I_a}{R^2} \right) l^2 + I_z \right]}$$

$$b_5 = \frac{[M_b R^2 + 2M_w R^2 + 2I_a] + M_b h R}{[(M_b + 2M_w)R^2 + 2I_a] I_x + 2M_b h^2 (M_w R^2 + I_a)}$$

$$b_6 = \frac{[M_b R^2 + 2M_w R^2 + 2I_a] + M_b h R}{[(M_b + 2M_w)R^2 + 2I_a] I_x + 2M_b h^2 (M_w R^2 + I_a)}$$

Table 2.1 lists the nominal parameters of the real two wheeled inverted pendulum system.

**Table 2.1: System nominal parameters.**

Symbol	Value	Unit
$M_b$	0.502	$Kg$
$M_w$	0.054	$Kg$
$h$	0.31	$m$
$R$	0.065	$m$
$l$	0.18	$m$
$I_x$	$9.1196 \times 10^{-4}$	$Kgm^2$
$I_z$	$6.693 \times 10^{-3}$	$Kgm^2$
$I_a$	2.851585	$Kgm^2$
$g$	$\times 10^{-5}$	$Kgm^2$
	9.81	$ms^{-2}$

### 3. System Set up

In this section, the different components of the real two-wheeled inverted pendulum system are demonstrated. The two main parts of the system are the mobile robot and the inverted pendulum. Figure 2 shows the SainSmart InstaBots Upright Rover Kit

V 3.0 Pro Updated 2 Wheel Self Balancing Robot Kit Mega 2560 which represents the mobile robot part [13]. To construct the two wheeled inverted pendulum system, we have connected a pendulum to the mobile robot body at the center of the chassis. The constructed mobile inverted pendulum system is shown in Figure 3.



**Figure 2: SainSmart InstaBots Upright Rover Kit Wheel Self Balancing [22].**



**Figure 3: The constructed real two wheeled inverted pendulum system.**

### 4. Controllers Design

In this section, the design of full state feedback controller using  $H_2$  control and  $H_\infty$  control is presented. The main objective of the proposed controllers is to stabilize the mobile inverted pendulum system and achieve an acceptable robustness with a more desirable performance.

#### I. Statement of the Problem

Consider the linear time invariant control system expressed by:

$$\dot{x}(t) = Ax(t) + B_1 d(t) + B_2 u(t) \quad (13)$$

$$e(t) = C_1 x(t) + D_{12} u(t) \quad (14)$$

$$y(t) = x(t) \quad (15)$$

where  $x(t) \in R^n$  represents the state vector,  $e(t) \in R^h$  represents the controlled output vector,  $y(t) \in R^r$  represents the output,  $u(t) \in R^n$  represents the control vector and  $d(t) \in R^n$  represents the disturbance. For design requirements, it is necessary to assume that the the system matrix  $A$  is of full rank, the pairs  $(A, B_1)$  and  $(A, B_2)$  are stabilizable, and the pair  $(C_1, A)$  is detectable. Also, it is required that all state measurements can be made. Obtaining a scalar state feedback control is the main objective of this work. The state feedback control can be expressed as:

$$u(t) = K x(t) \tag{16}$$

where  $K$  is called the state feedback gain matrix. The control law assigns the closed loop eigenvalues required to stabilize the system and achieve a desirable performance in the presence of disturbance and over a range of system parameters changes. Further, this work focuses on the system position and pendulum angle and the goal is to follow a predetermined input position with a minimum deviation in pendulum angle.

II.  $H_2$  Controller

Assume that:

$$M = \begin{bmatrix} A & B_1 & B_2 \\ C_1 & 0 & D_{12} \\ I & 0 & 0 \end{bmatrix} \tag{17}$$

The block diagram of  $H_2$  control is shown in Figure 4.

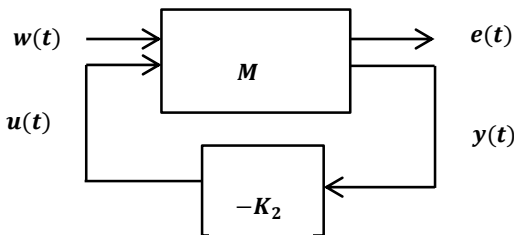


Figure 4:  $H_2$  control block diagram[24].

where  $w(t)$  represents the external inputs (set point, disturbance) [14].

The  $H_2$  norm of the system error due to a white noise input is:

$$\|T_{ed}\|_{H_2}^2 = E(e^T(t)e(t)) \tag{18}$$

where  $T_{ed}$  is the transfer function from  $d(t)$  to  $e(t)$ , then

$$e^T(t)e(t) = x(t)^T Q_f x(t) + 2x(t)^T N_f u(t) + u(t)^T R_f u(t) \tag{19}$$

where  $Q_f = C_1^T C_1$ ,  $N_f = C_1^T D_{12}$ ,  $R_f = D_{12}^T D_{12}$ .

Hence, the resulting cost function to be minimized is:

$$J = \int_{t_0}^{t_f} [x(t)^T Q_f x(t) + 2x(t)^T N_f u(t) + u(t)^T R_f u(t)] dt \tag{20}$$

And the optimal control action is expressed by:

$$u(t) = -K_2 x(t) \tag{21}$$

where

$$K_2 = R_f^{-1} (B_2^T P + N_f^T) \tag{22}$$

where  $P$  is symmetric and positive definite transformation matrix. It is determined using the following Riccati equation:

$$(A - B_2 R_f^{-1} N_f^T)^T P + P(A - B_2 R_f^{-1} N_f^T) - P B_2 R_f^{-1} B_1^T P + Q_f - N_f R_f^{-1} N_f^T = 0 \tag{23}$$

III. Structured Uncertainty Construction

The robust control techniques are taking uncertainties methodically into account when designing a controller or when analyzing a control system. Model uncertainty is called "structured" when there is real parameter uncertainty in the model, or if there are multiple unstructured uncertainties located at various points within the system at the same time [15]. Consider the state space model block shown in Figure 5 and assume that

$$M = \begin{bmatrix} M_{11} & M_{12} \\ M_{21} & M_{22} \end{bmatrix} \tag{24}$$

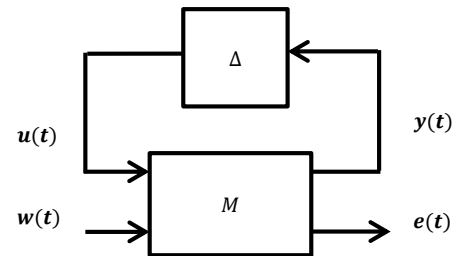


Figure 5: Block diagram of state space model [18].

The equation of upper linear fractional transformation (ULFT) can be expressed by [16, 17]:

$$F_u(M, \Delta) = M_{22} + M_{21} \Delta (I - M_{11} \Delta)^{-1} M_{12} \tag{25}$$

where  $F_u(M, \Delta)$  is the upper linear fractional transformation of  $M$  and  $\Delta$ . To obtain the (ULFT) representation of a state space model expressed by:

$$G(s) = D + C (sI - A)^{-1} B \tag{26}$$

The transfer function matrix  $G(s)$  is compared to equation (25) to give:

$$M_{22} = D, M_{21} = C, M_{11} = A, M_{12} = B \text{ and } \Delta = \frac{1}{s} I$$

The system upper linear fractional transformation is shown in Figure 6.

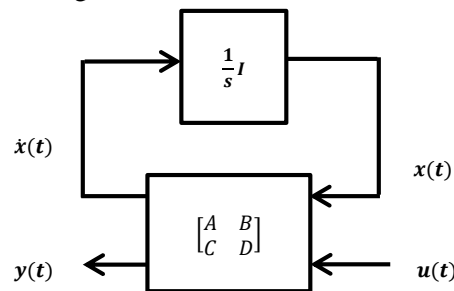


Figure 6: Block Diagram of system with upper linear fractional transformation [18].

Consider the uncertain linear system  $(A_\delta, B_\delta, C_\delta$  and  $D_\delta)$  with  $k$  uncertain parameters  $\delta_1, \dots, \delta_k$  and assume that

$$A_\delta = A_0 + \sum_{i=1}^k \delta_i \hat{A}_i \tag{27}$$

$$B_\delta = B_0 + \sum_{i=1}^k \delta_i \hat{B}_i \tag{28}$$

$$C_\delta = C_0 + \sum_{i=1}^k \delta_i \hat{C}_i \tag{29}$$

$$D_\delta = D_0 + \sum_{i=1}^k \delta_i \hat{D}_i \tag{30}$$

where  $(A_0, B_0, C_0$  and  $D_0)$  is the nominal matrices of the plant and  $k$  represents the number of variation parameters. The uncertain matrices  $(\hat{A}_i, \hat{B}_i, \hat{C}_i$  and  $\hat{D}_i)$  are known, and they describe how uncertain parameters  $\delta_1, \delta_2, \dots, \delta_k$  enter into the model. It will be assumed that there are  $n$  states,  $m$  inputs,  $p$  outputs [18].

Reformulating equations (27), (28), (29) and (30), the following equations are obtained:

$$N_\delta = \begin{bmatrix} A_0 + \sum_{i=1}^k \delta_i \hat{A}_i & B_0 + \sum_{i=1}^k \delta_i \hat{B}_i \\ C_0 + \sum_{i=1}^k \delta_i \hat{C}_i & D_0 + \sum_{i=1}^k \delta_i \hat{D}_i \end{bmatrix} \tag{31}$$

where  $N_\delta$  represents  $A_\delta, B_\delta, C_\delta$  and  $D_\delta$ . The  $\delta_i$  matrix can be defined as:

$$\delta_i = \text{diag}[\delta_{a_1}, \delta_{a_2}, \delta_{b_1}, \delta_{b_2}, \delta_{b_3}, \delta_{b_4}, \delta_{b_5}, \delta_{b_6}] \tag{32}$$

Reformulating equation (31), gives:

$$N_\delta = \begin{bmatrix} A_0 & B_0 \\ C_0 & D_0 \end{bmatrix} + \sum_{i=1}^k \delta_i p_i \tag{33}$$

where the  $p_i$  matrices are appropriately partitioned. The  $p_i$  matrices are found with the expansion for the uncertainty matrices having zero-order cross-product, and then rewriting equations (33) yields:

$$\delta_i p_i = \delta_{a_1} p_1 + \delta_{a_2} p_2 + \delta_{b_1} p_3 + \delta_{b_2} p_4 + \delta_{b_3} p_5 + \delta_{b_4} p_6 + \delta_{b_5} p_7 + \delta_{b_6} p_8 \tag{34}$$

The matrix  $p_i$  can be decomposed into the product of appropriately partitioned column and row matrices as follows:

$$p_i = \begin{bmatrix} H_i \\ W_i \end{bmatrix} [R_i^H \ Z_i^H] = \begin{bmatrix} H_i \\ W_i \end{bmatrix} \delta_i I_{q_i} [R_i^H \ Z_i^H] \tag{35}$$

The rank of the matrix  $P_i$  is  $q_i$  and  $H_i, W_i, R_i$  and  $Z_i$  are  $n \times q_i, p \times q_i, n \times q_i$  and  $m \times q_i$  matrix, respectively.

Substituting equation (35) into equation (33) yields:

$$N_\delta = \begin{bmatrix} A & B \\ C & D \end{bmatrix} + \begin{bmatrix} H_1 & \dots & H_8 \\ W_1 & \dots & W_8 \end{bmatrix} \begin{bmatrix} \delta_{a_1} I_{q_1} & \dots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \dots & \delta_{b_6} I_{q_8} \end{bmatrix} \begin{bmatrix} R_1^H & Z_1^H \\ \vdots & \vdots \\ R_8^H & Z_8^H \end{bmatrix} \tag{36}$$

By comparison with equation (25), we obtain:

$$M_\delta = \begin{bmatrix} M_{11} & M_{12} \\ M_{21} & 0 \end{bmatrix} M_{11} = \begin{bmatrix} A & B \\ C & D \end{bmatrix}$$

$$M_{12} = \begin{bmatrix} H_1 & \dots & H_8 \\ W_1 & \dots & W_8 \end{bmatrix} M_{22} = 0$$

$$M_{21} = \begin{bmatrix} R_1^H & Z_1^H \\ \vdots & \vdots \\ R_8^H & Z_8^H \end{bmatrix} \Delta_p = \begin{bmatrix} \delta_{a_1} I_{q_1} & \dots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \dots & \delta_{b_6} I_{q_8} \end{bmatrix} \tag{37}$$

where

$$B_2 = [H_1 \ \dots \ H_8]$$

$$D_{12} = [W_1 \ \dots \ W_8]$$

$$C_2^H = [R_1^H \ \dots \ R_8^H]$$

$$D_{21}^H = [Z_1^H \ \dots \ Z_8^H]$$

$$D_{22} = 0$$

Rewriting equation (46) gives:

$$M_\delta = \begin{bmatrix} A & B_{\delta 1} & B_2 \\ C & D_{11} & D_{\delta 12} \\ C_{\delta 2} & D_{\delta 21} & 0 \end{bmatrix} \tag{38}$$

#### IV. $H_\infty$ Controller

A full state feedback  $H_\infty$  control is considered to stabilize and track the two wheeled inverted pendulum system and guarantee the robustness for disturbance attenuation and uncertainties. Figure 7 shows the block diagram of full state feedback  $H_\infty$  control.  $M_\delta$  is the coefficient matrix for structured uncertainty [17].

$$M_\delta = \begin{bmatrix} A & B_{\delta 1} & B_2 \\ C & D_{11} & D_{\delta 12} \\ C_{\delta 2} & D_{\delta 21} & 0 \end{bmatrix} \tag{39}$$

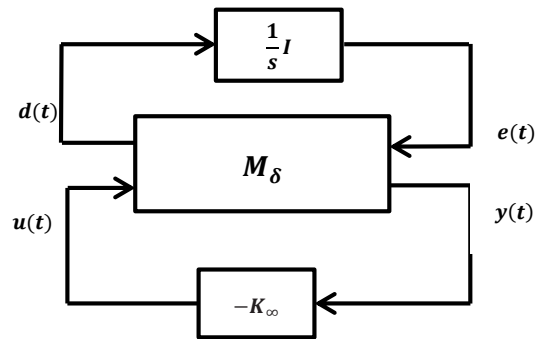


Figure 7: Block diagram of two part system with controller and uncertainty [17].

The stabilizing  $H_\infty$  optimal control is obtained such that the infinite norm of the overall closed loop transfer matrix  $T_{ed}$  is minimized, that is [16]:

$$\|T_{ed}\|_\infty < \gamma \tag{40}$$

where  $\gamma$  represents a positive integer number.

The objective function for  $H_\infty$  optimal control is [17]:

$$J(e, d) = \int_0^\infty (e^T(t)e(t) - \gamma^2 d^T(t)d(t)) dt \tag{41}$$

$$\inf_u \sup_d J(u, d) < \infty \tag{42}$$

where  $\inf$  represents infimum and  $\sup$  represents supremum. The optimal state worst case disturbance feedback  $d(t)$  is given by [18]:

$$d(t) = K_d x(t) \tag{43}$$

Substituting equation (22) in equation (41) yields:

$$J(x(t), t) = \int_0^\infty x^T(t)(Q + K^T K - \gamma^2 K_d^T K_d)x(t) dt \tag{44}$$

A constant positive semidefinite symmetric matrix  $P$  that satisfies equation (42) is supposed to be found, then:

$$\int_0^\infty x^T(t)(Q + K^T K - \gamma^2 K_d^T K_d)x(t) dt = \int_0^\infty -\frac{d}{dx}(x^T(t)P x(t)) dt \tag{45}$$

Reformulating equation (45), yields:

$$(A + B_1 K_d + B_2 K)^T P + P(A + B_1 K_d + B_2 K) + C_1^T C_1 + K^T K - \gamma^2 K_d^T K_d = 0 \tag{46}$$

The condition for maximization of  $J(x(t), t)$  with respect to  $K_d$  is [18]:

$$\nabla_{K_d} P = 0 \tag{47}$$

The gradient matrix  $\nabla_{K_d} P$  is defined as:

$$(\nabla_{K_d} P)_{ij} = \frac{\partial P}{\partial K_{dij}} \tag{48}$$

then

$$K_d = -\frac{1}{\gamma^2} B_1^T P \tag{49}$$

Similarly, the condition for minimization of  $J(x(t), t)$  in equation (46) with respect to  $K$  is [18]:

$$K = -B_2^T P \tag{50}$$

Substituting equations (49) and (50) in equation (46), the Riccati resulting equation is:

$$PA + A^T P + C_1^T C_1 + P \left( B_2 B_2^T - \frac{1}{\gamma^2} B_1 B_1^T \right) P = 0 \tag{51}$$

On the other hand, to achieve the criterion in equation (56), the following conditions should be satisfied:  $u(t) = K u(t)$ ,  $P \geq 0$  and the matrix  $(A + B_1 K_d + B_2 K_c)$  is stable.

### 5. Results and Discussion

The eigenvalues values of the uncontrolled system are  $\{0, 0, -10.9719, 10.9719, 0, 0\}$  which indicate the system instability. The  $H_2$  controller is proposed to ensure the stability for the system. Figure 8 illustrates the time response with  $H_2$  controller in case of stabilization. It is clear that the proposed  $H_2$  controller can achieve the stability within 4 seconds and the pendulum angle oscillates between  $-0.92$  to  $0.35$  degree. The resulting control signals are shown in Figure 9. The resulting state feedback gains are:

$$K_2 = \begin{bmatrix} -1.1181 & -1.4113 & 0.7906 & 0.7916 & -5.3592 & -1.2632 \\ -1.1181 & -1.4113 & -0.7906 & -0.7916 & -5.3592 & -1.2632 \end{bmatrix}$$

and the new closed loop eigenvalues are:  $\{-2.273 + 1.784 i, -2.273 - 1.784 i, -1.395, -1, -1070.739, -733.323\}$  which means that the system, became

stable. In this work, the appropriate values of  $Q_f$ ,  $N_f$  and  $R_f$  are set by trial and error to be:

$$Q_f = \begin{bmatrix} 200 & 0 & 0 & 0 & 0 & 0 \\ 0 & 100 & 0 & 0 & 0 & 0 \\ 0 & 0 & 100 & 0 & 0 & 0 \\ 0 & 0 & 0 & 100 & 0 & 0 \\ 0 & 0 & 0 & 0 & 100 & 0 \\ 0 & 0 & 0 & 0 & 0 & 100 \end{bmatrix}, R_f = 80$$

$$N_f = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

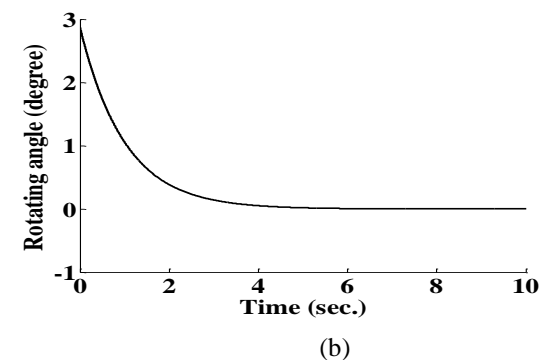
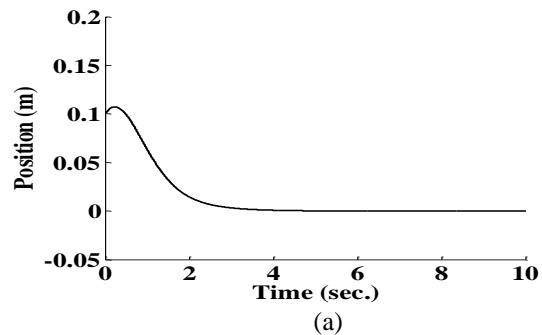
Moreover, it is apparent that the control signal is within the allowable range of the input voltage of the system. Figure 10 shows the system states trajectories. From this figure, it can be observed that the system states trajectories with full state feedback  $H_\infty$  controller approach the equilibrium within 5 sec. for system position, 4 sec. for pendulum angle and 4 sec. for rotating angle. Regarding to the deviation of the pendulum angle, it is seen that this angle deviates between  $-0.47$  and  $0.1$  degree. The resulting state feedback gains are:

$$K_c = \begin{bmatrix} -0.0123 & -0.0246 & 0.0138 & 0.0148 & -0.2374 & -0.0314 \\ -0.0123 & -0.0246 & -0.0138 & -0.0148 & -0.2374 & -0.0314 \end{bmatrix}$$

and

$$K_d = \begin{bmatrix} 7.493e^{-10} & 5.111e^{-10} & 1.740e^{-21} & 2.201e^{-22} & 9.563e^{-10} & 1.799e^{-10} \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

The new closed loop eigenvalues are:  $\{-23.66, -5.279, -0.97 + 0.403i, -0.97 - 0.403i, -12.746, -1.003\}$ . This means that all the roots of the system lie in the left hand side and the system is stable.



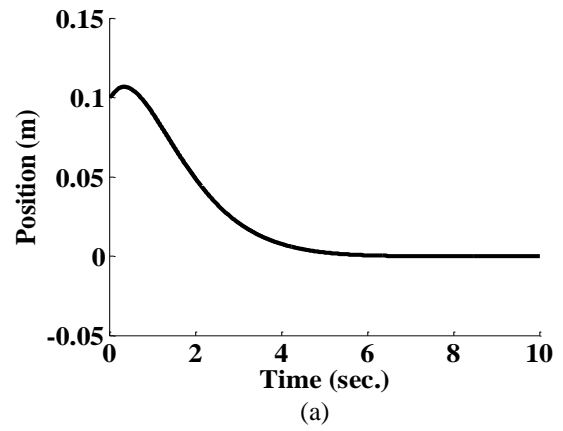
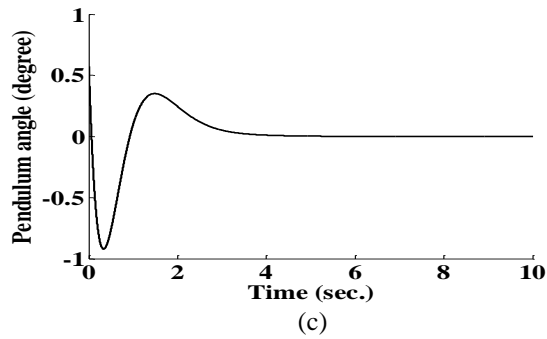


Figure 8: System state trajectories using  $H_2$  stabilizing controller  $x_0 = [0.1 \ 2.865 \ 0.573]$   
 a) position b) rotating angle c) pendulum angle d)  $u_1$   
 e)  $u_2$ .

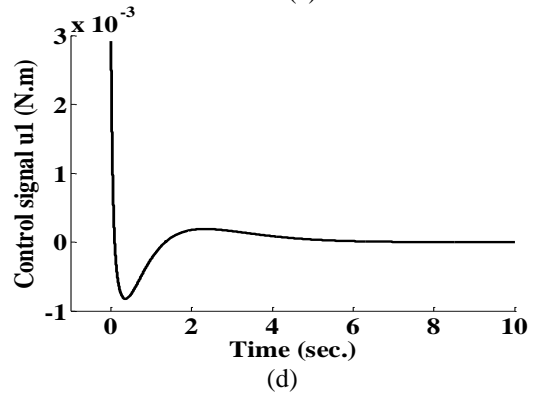
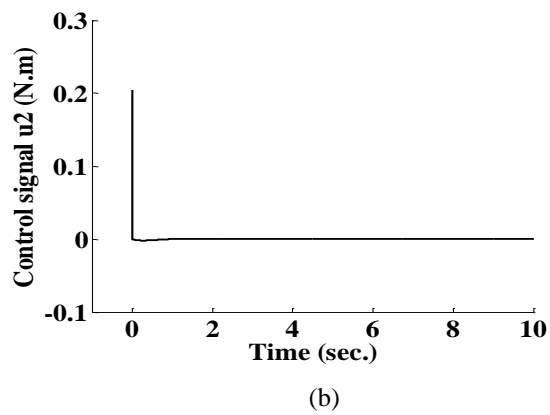
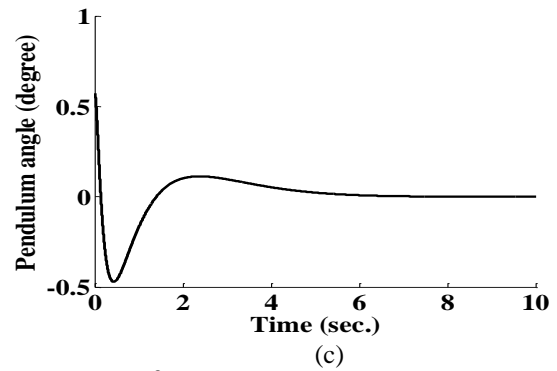
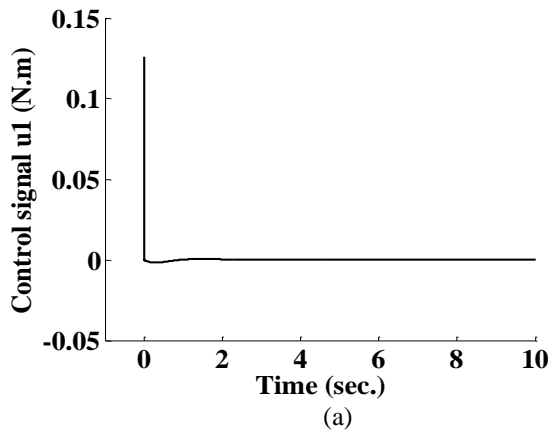
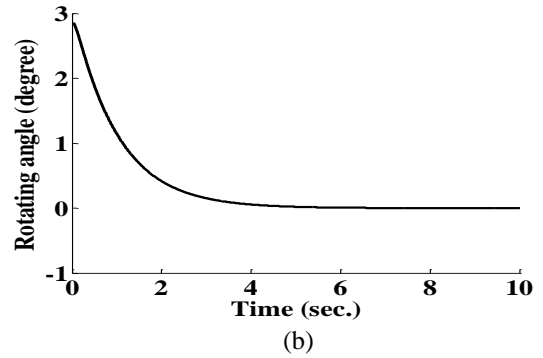
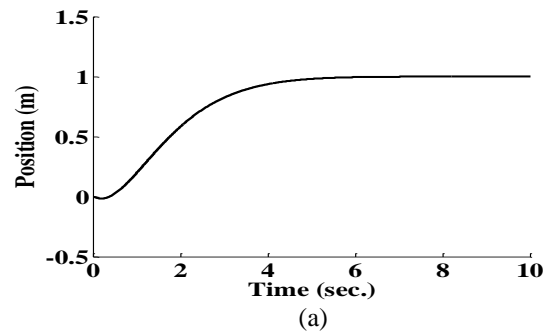
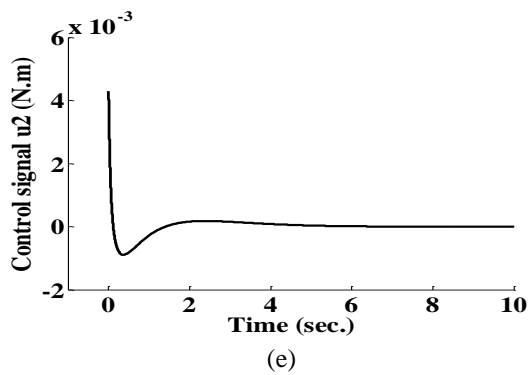
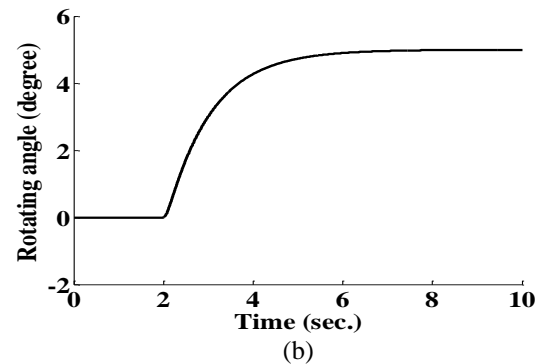


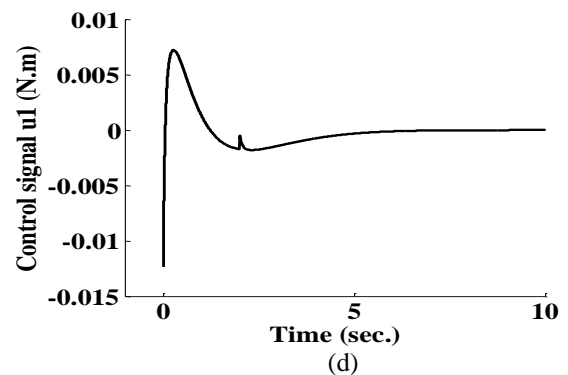
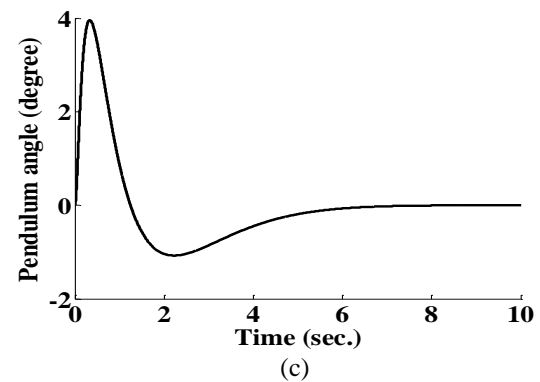
Figure 9: The resulting control signals using  $H_2$  stabilizing controller a)  $u_1$  and b)  $u_2$ .



**Figure 10: System state trajectories using  $H_\infty$  stabilizing controller  $x_0 = [0.1 \ 2.865 \ 0.573]$ , a) position, b) rotating angle, c) pendulum angle, d)  $u_1$  and e)  $u_2$ .**



Furthermore, Figure 11 shows the system tracking properties using  $H_\infty$  controller. The time response characteristics can be summarized by:  $t_s = 5 \text{ sec.}$  for system position,  $t_s = 5 \text{ sec.}$  for rotating angle and for pendulum angle the settling time is  $6.5 \text{ sec.}$  and the pendulum deviates between  $-1.073$  and  $3.955 \text{ degree}$ . Further, it is shown that a low control effort has been resulted and within the allowed range of input torque. Figure 12 gives the time response specifications of the controlled system when the system parameters are changed. Comparisons between the results of  $H_2$  controller and  $H_\infty$  in both stabilizing and tracking cases are shown in Figure 13 and 14. The comparison shows that the two controllers yielded relatively the same time response specifications in case of system position, but in case of pendulum angle deviation, it is noted that the full state feedback  $H_\infty$  controller is much improved over that of the full state feedback  $H_2$  controller. In addition, the  $H_\infty$  controller yielded a very low control signal when it is compared to  $H_2$  controller. These improvements can be attributed to the effectiveness of the  $H_\infty$  control method in attenuating the disturbances and resulting a low control signal and within the allowed tolerance to avoid the saturation problem. Add to all, the full state feedback  $H_\infty$  controller can compensate the system parameters uncertainty.





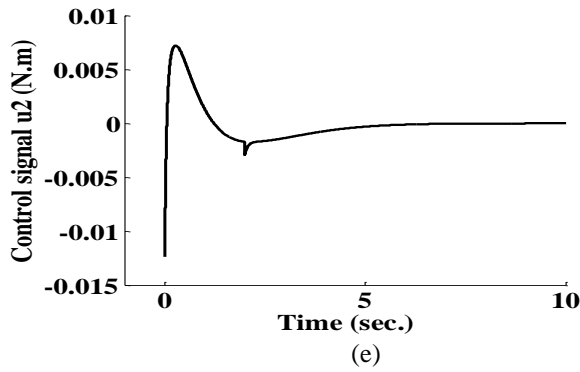


Figure 11: Tracking properties of the system using  $H_\infty$  controller, a) position, b) rotating angle, c) pendulum angle, d)  $u_1$  and e)  $u_2$ .

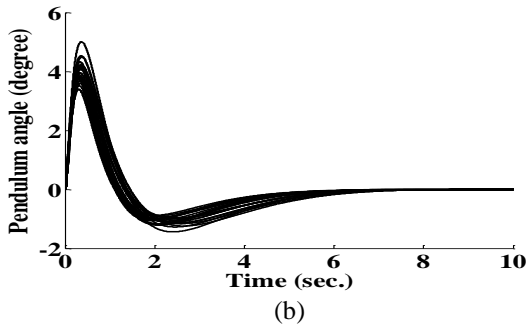
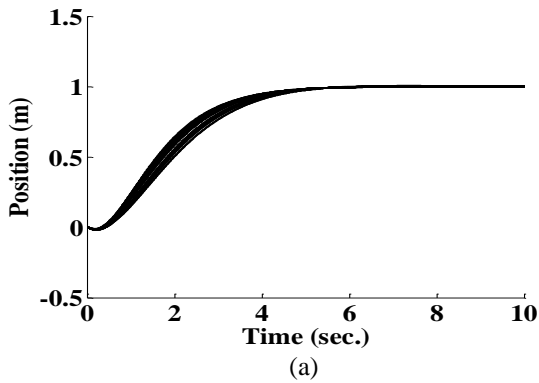


Figure 12: System time response with parameters uncertainty using  $H_\infty$  controller, a) position, b) pendulum angle.

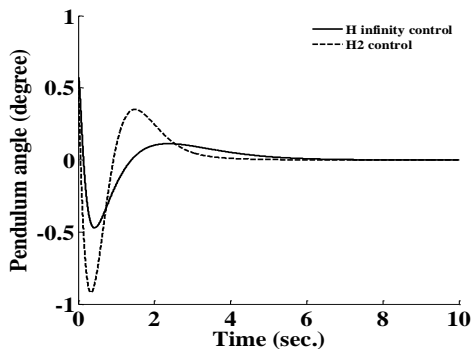


Figure 13: System state trajectory using  $H_2$  stabilizing controller (dotted line) and  $H_\infty$  controller (solid line).

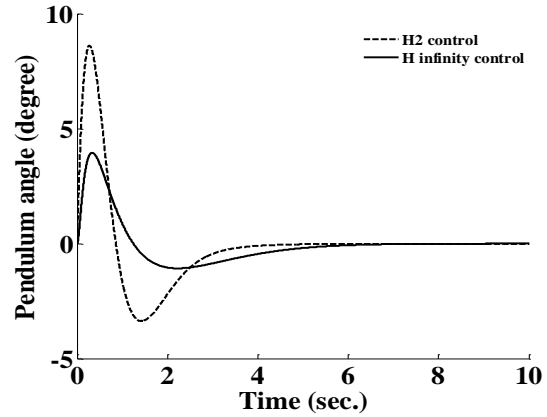
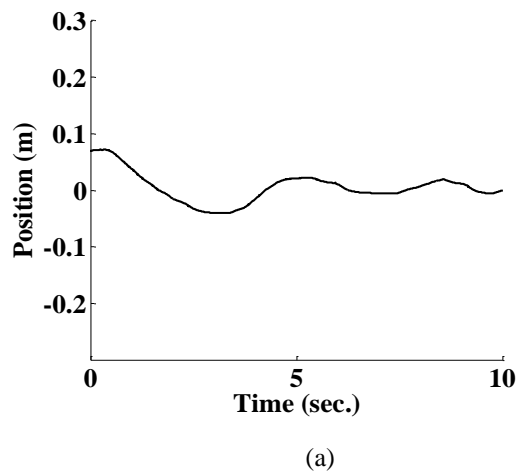
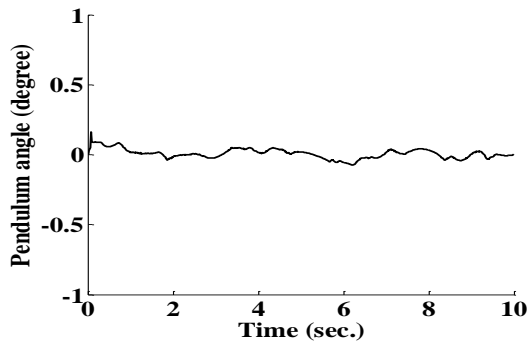


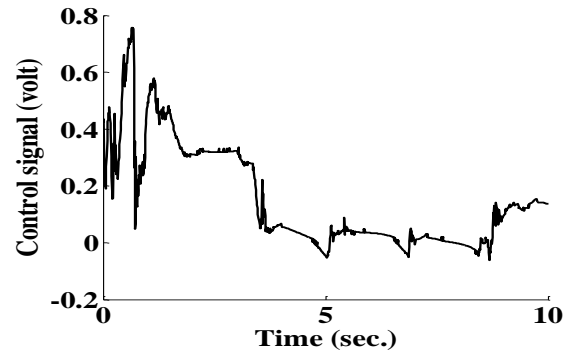
Figure 14: System state trajectory using  $H_2$  controller (dotted line) and  $H_\infty$  controller (solid line).

The experimental results for applying a full state feedback  $H_2$  controller as a stabilizing controller are shown in Figure 15. It is shown that the position needs 5 sec. to settle from the downward position to the upright position within  $-0.04$  to  $0.04$  degree and the pendulum angle deviation is from  $0.16$  to  $-0.05$  degree. The amplitudes of this control action are  $-0.5$  to  $-0.4$  volt which are within the limits of input torques. The experimental results for applying the full state feedback  $H_\infty$  controller are shown in Figure 16. It is shown that the position needs 5 sec. to settle from the downward position to the upright position within  $-0.05$  to  $0.0473$  degree and the pendulum angle deviation is from  $-0.005$  to  $0.01$  degree. The amplitudes of the control action are  $0.76$  to  $-0.01$  volt which are within the limits of input voltages.



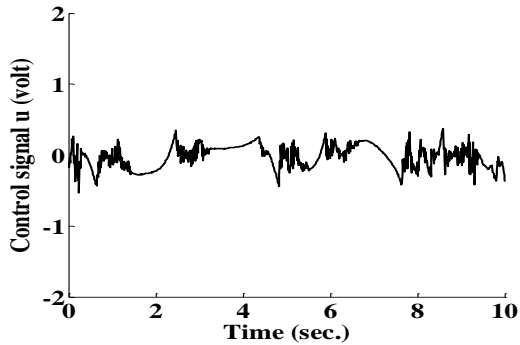


(b)



(c)

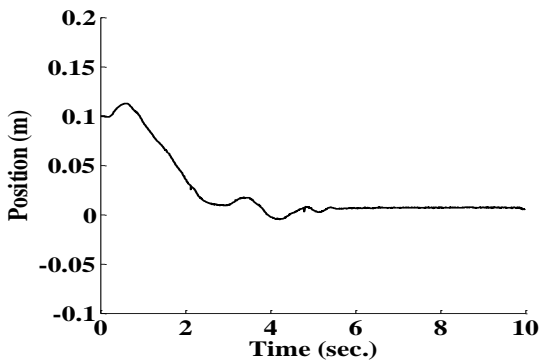
**Figure 16: Experimental time response using  $H_{\infty}$  stabilizing controller, a) position, b) pendulum angle, c) control signal.**



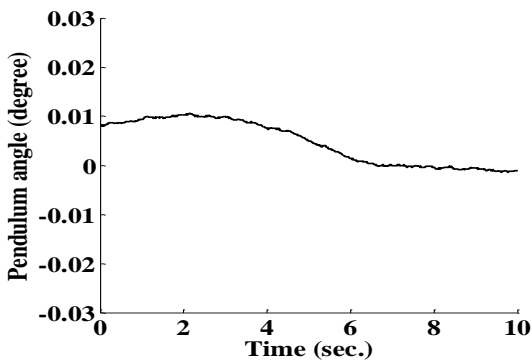
(c)

**Figure 15: Experimental response using  $H_2$  stabilizing controller, a) position, b) pendulum angle, c) control signal.**

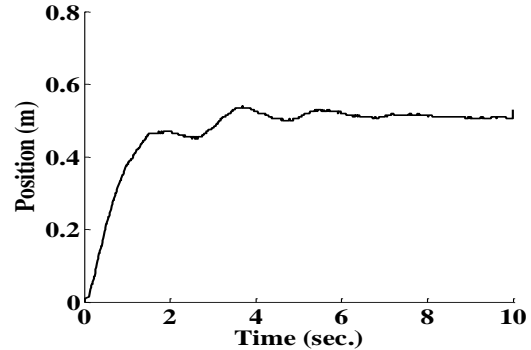
Furthermore, the experimental results for applying the full state feedback  $H_{\infty}$  controller as a tracking controller are shown Figure 17. The obtained time response specifications are:  $t_s = 5 \text{ sec.}$  for system position and for pendulum angle the settling time is 5 sec. and the pendulum deviates between  $-0.05$  and  $1.35 \text{ degree}$ . Further, it is shown that a low control effort has been resulted which is within the allowed range of input torque.



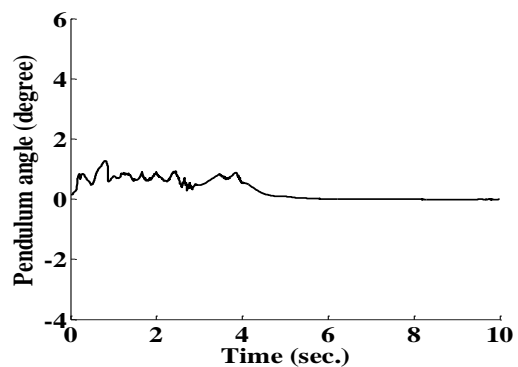
(a)



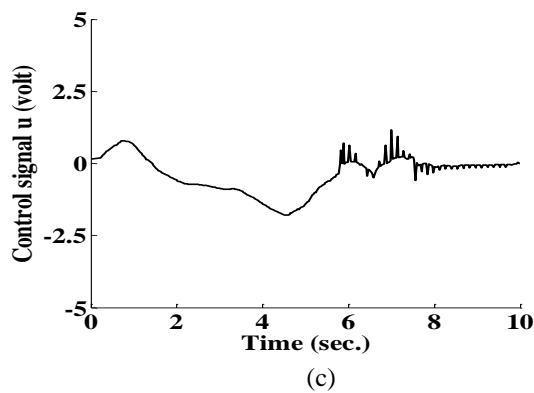
(b)



(a)



(b)



**Figure 17: Experimental time response using  $H_\infty$  tracking controller, a) position, b) pendulum angle, c) control signal.**

## 5. Conclusion

The  $H_2$  controller is a powerful technique to design a robust control for rejecting the disturbance and achieving an acceptable time response specifications. The full state feedback  $H_\infty$  control is a powerful robust control technique to design a robust control with the presence of disturbance and uncertainties. The robust stability and performance of the system have been assured using the full state feedback  $H_\infty$  controller. This controller could achieve time response specifications better than those obtained using  $H_2$  control in addition to a low control effort. The results verified that the proposed state feedback controller using  $H_\infty$  technique can stabilize the system and achieve the desirable performance despite the presence of uncertainty. Moreover, it has been shown that a very small deviation in pendulum angle was achieved using the proposed controller in comparison to the controllers designed in previous works. The experimental results showed the superiority of the full state feedback  $H_\infty$  controller.

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